

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	09/991,914
Filing Date	November 26, 2001
First Named Inventor	Douglas James LITTLE et al.
Art Unit	2164
Examiner Name	Unknown
Attorney Docket Number	08613.0002

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
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Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/HT/		AU 781699	04-10-2003			

**NON PATENT LITERATURE DOCUMENTS**

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Examiner  
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/Hai Tran/ (03/23/2007)

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Number-Kind Code <sup>2</sup> (if known)			
/HT/		US-5,761,442	06-02-1998	Barr et al.	

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

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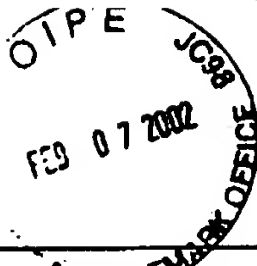
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
/HT/		WO 95/27945	10-19-1995			

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		International Search Report, PCT/AU02/01363.	

Examiner Signature	/Hai Tran/ (03/23/2007)	Date Considered	
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OMB No. 0651-0011

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	085106002	Serial No.	09/991,914
Applicant	Douglas James LITTLE et al.		
Filing Date	November 26, 2001	Group:	

U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
/HT/	FTSE International, "Classifying Members of the Family", Triskel Communications Limited, pp. 2-8, October 1999.
/HT/	B. Solnik, "QUARTERLY FOCUS: DIRECTION FOR GLOBAL PORTFOLIO MANAGMENT", UBS Asset Management, pp. 1-5, March 31, 2001.
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/HT/	E. Kirzner, "BOTTOM-UP APPROACH GAINS POPULARITY: GLOBAL INVESTING: RECENT RESEARCH POINTS TO MERITS OF SECTOR SELECTION", Financial Post, p. 14, February 26, 2001.
/HT/	"LOCAL ANALYSIS CEDES TO GLOBAL VIEW", E-Financial News, pp. 1-3, June 25, 2001.
/HT/	C. Capaul, "ASSET-PRICING ANOMALIES IN GLOBAL INDUSTRY INDEXES", Financial Analysts Journal, pp. 17-31, Jul/Aug. 1999

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